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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ttorney Docket No.:

DEX-0146

Inventors:

Yang et al.

Serial No.:

09/762,028

Filing Date:

July 19, 1999

Examiner:

Not yet assigned

Group Art Unit:

Not yet assigned

Title:

A Novel Method of Diagnosing, Monitoring, Staging, Imaging and

Treating Lung Cancer

"Express Mail" Label No. EV 151144885 US Date of Deposit - <u>July 8, 2002</u>

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327

Arlington, VA 22202

Typed Name: Kathleen A. Tyrrell

Assistant Commissioner for Patents

BOX Sequence

United States Patent and Trademark Office P.O. Box 2327

Arlington, VA 22202

Dear Sir:

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

In response to the "Notification of Defective Response" dated June 7, 2002, a response to which is due July 7, 2002, enclosed herewith is:

(XX) Amendment under 1.825;

- . (XX) Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825;
 - (XX) Substitute pages of the Sequence Listing;
 - (XX) Substitute copy of the computer readable form of Sequence Listing;
 - (XX) Copy of Notification of Defective Response;
 - () Petition for Three (3) Month Extension of Time;

()	Other:	
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The Commissioner is hereby authorized to charge any underpayment associated with this communication or credit any overpayment to Deposit Account No. 50-1619. This sheet is attached in duplicate.

Respectfully submitted,

Kathleen A. Tyrrell

Registration No. 38,350

Date: July 8, 2002

Licata & Tyrrell P.C. 66 E. Main Street Marlton, New Jersey 08053

(856) 810-1515



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Typed Name: Kathleen A.

Assistant Commissioner for Patents BOX Sequence

Arlington, VA 22202

United States Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202

Dear Sir:

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 CFR §§ 1.821 THROUGH 1.825

I hereby state, in accordance with the requirements of 37 C.F.R. §1.821(f), that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively are the same.

- '(). I hereby state that the submission filed in accordance with 37 CFR §1.821(g) does not include new matter.
 - () I hereby state that the submission filed in accordance with 37 CFR §1.821(h) does not include new matter or go beyond the disclosure in the international application as filed.
 - (XX) I hereby state that the amendments, made in accordance with 37 CFR §1.825(a), included in the substitute sheet(s) of the Sequence Listing were made to conform to the current Sequence Listing Rules. I hereby state that the substitute sheet(s) of the Sequence Listing does not include new matter.
 - (XX) I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(b), is the same as the amended Sequence Listing submitted herewith.
- () I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 CFR §1.825(d), contains identical data to that originally filed.

Respectfully submitted,

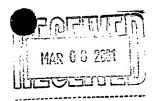
Tathler H

Kathleen A. Tyrrell Registration No. 38,350

Date: July 8, 2002

Licata & Tyrrell P.C. 66 E. Main Street Marlton, New Jersey 08053

(856) 810-1515





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS BOX PCT Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT ATTY				TY. DOCKET NO				
09/762028		YANG		F		DEX-0146			
LICATA & TYRRELL		IMI		INTERNA	ERNATIONAL APPLICATION NO				
66 E MAIN STREET				PCT/US99/16247					
MARLTON, NJ 08053		•	_	I.A. FILING DATE PRIORITY		PRIORITY DATE			
			-	19 JUL 9	99	04 AUG 98			
NOTE TO LEGIS OF THE PARTY OF T			D.	DATE MAILED: 05 MAR 2001					
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)									
1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as									
☐ a Designated Office (37 CFR 1.494),									
✓ an Elected Office (37 CFR 1.495): ✓ U.S. Basic National Fee.									
Copy of the international application in:									
a non-English langu	age.								
☑ English. ☐ Translation of the international application into English.									
Oath or Declaration of inventors(s) for DO/EO/US.									
Copy of Article 19 amendments.									
Translation of Article 19 amendments into English.									
The International Preliminary Examination Report in English and its Annexes, if any.									
☐ Translation of Annexes to the International Preliminary Examination Report into English. ☐ Preliminary amendment(s) filed and									
Information Disclosure Statement(s) filed 01 FEB 01 and									
Assignment document.									
Power of Attorney and/or Ch	ange of A	idress.							
Verified Statement Claiming Small Entity Status.									
Priority Document.									
Copy of the International Sea	rch Report	and copies of the refere	nces c	ited therein.					
2. The following items MUST be fu	rnished wi	thin the period set forth belo	ow in o	order to compl	ete the re	quirements for			
acceptance under 35 U.S.C. 371:									
a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.									
The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.									
b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).									
c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.									
The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.									
d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority (37 CFR 1.492(e)).									
3. Additional claim fees of \$	as ust submit	a ☐ large entity ☐ small e the additional claim fees or	entity, cance	including any I the additiona	required : I claims fo	multiple dependent or which fees are			
ALL OF THE ITEMS SET FORTE	I IN 2(a)-	(d) AND 3 ABOVE MUST	T BE :	SUBMITTED	WITHI	ONE MONTH			
ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.									
The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).									
4. Translation of the Annexes MUST Note processing fee will be required i 5. The Article 19 amendments are 494(d)) or 30 (37 CFR 1.495(d)) mon	f submitte cancelled	f later than 30 months from since a translation was not	the pr	riority date.					
Applicant is reminded that any communications given in the heading and include					must be	mailed to the			
A copy of this notice MUST be returned with this response. Enclosed:									
PCT/DO/EO/917	☐ Notic	e of Defective Translation							
PTO-875	1007		•	COTTMA					
FORM PCT/DO/EO/905 (December	177/)			Telephone: 7	U3-305-3	693			